

LAP13 Rec'd PCT/PTO 17 FEB 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: ROSTALSKI et al.

Confirmation No.: 3967

Serial No.: 10/581,651

Group Art Unit: 2873

Filed: June 1, 2006

Attorney Docket No.: 861840-999058

For: PROJECTION OPTICAL SYSTEM

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. § 371 IN THE UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US)**

**BOX: Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicant submits the following documents in response to the Notification of Missing Requirements Under 35 U.S.C. § 371 In the United States Designated/Elected Office (DO/EO/US), mailed on February 9, 2007, to complete the filing for the above-identified application:

1. Copy of the Notification of Missing Requirements;
2. Executed Declaration;
3. Power of Attorney by Assignee with a copy of the Assignment;
4. Information Disclosure Statement and PTO-1449; and,
5. Return postcard.

Applicants concurrently submit herewith, for recordation, under separate cover an Assignment of Applicants' rights in the above-identified application to CARL ZEISS SMT AG.

The United States Patent and Trademark Office is hereby authorized to charge the following fee to Deposit Account No. 50-1432:

1. Surcharge Fee for filing declaration

|   |                      |
|---|----------------------|
| on a date later than the filing date<br>of the application. | \$ 130.00            |
| 2. Additional Claim Fee for 1 independent claim over 3      | <u>\$ 200.00</u>     |
| <br><b>TOTAL</b>  | <br><b>\$ 330.00</b> |

The Commissioner is authorized to charge all required fees, fees under 37 C.F.R. § 1.17 and all required extension of time fees throughout the pendency of this application, or credit any overpayment, to Jones Day Deposit Account No. 50-1432 (referencing 861840-999058). A duplicate copy of this sheet is enclosed.

Date: February 16, 2007

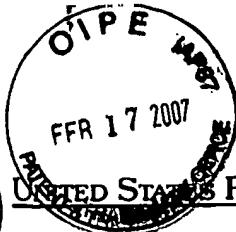
Respectfully submitted,

*James W. Peterson* 26,057  
(Reg. No.)

**JONES DAY**  
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New York, NY 10017  
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Enclosures

02/22/2007 GFREY1 00000112 501432 10581651  
01 FC:1617 130.00 DA  
02 FC:1614 200.00 DA



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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT  | ATTY. DOCKET NO. |
|-----------------------------|------------------------|------------------|
| 10/581,651                  | Hans-Juergen Rostalski | 861840-999058    |

|                               |  |
|-------------------------------|--|
| INTERNATIONAL APPLICATION NO. |  |
| PCT/EP04/13519                |  |

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
| 11/25/2004       | 12/02/2003    |

CONFIRMATION NO. 3967

## 371 FORMALITIES LETTER



\*OC000000022368981\*

Date Mailed: 02/09/2007

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/01/2006
- Copy of the International Search Report filed on 06/01/2006
- Copy of IPE Report filed on 06/01/2006
- Preliminary Amendments filed on 06/01/2006
- U.S. Basic National Fees filed on 06/01/2006
- Priority Documents filed on 06/01/2006
- Specification filed on 06/01/2006
- Claims filed on 06/01/2006
- Abstracts filed on 06/01/2006
- Drawings filed on 06/01/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$330** for a Large Entity:

- **\$130 Surcharge.**
- Total additional claim fee(s) for this application is **\$ 200**
  - \$200 for 2 independent claims over 3.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

DEBORAH D WILLIAMS

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Telephone: (703) 308-9140 EXT 205

**PART 2 - OFFICE COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/581,651                  | PCT/EP04/13519                | 861840-999058    |

FORM PCT/DO/EO/905 (371 Formalities Notice)